Complete (if applicable)

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FEE	TR	AN	SM	ITT	AL
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Effective 10/01/2004. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

SUBMITTED BY

Name (Print/Type)

Signature

William R. McCarthy III

(\$)	180

Complete if Known				
Application Number	09/683,374			
Filing Date	12/19/2001			
First Named Inventor	John C. Chappell			
Examiner Name	Daniel J. Petkovsek			
Art Unit	2874			
Attorney Docket No	3383.1			

METHOD OF PAYMENT (check all that apply)			FEE CALCULATION (continued)					
☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None				VAL FE				
Order Deposit Account:		Large	Entity	Small E	ntity			
Deposit Accou			Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description Fee	Paid
Account	01-0431		1051	130	2051	65	Surcharge - late filing fee or oath	
Number			1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
Deposit			1053	130	1053	130	Non-English specification	
Account Name	Affymetrix, Inc.		1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
The Director is as	ithorized to: (check all that ap		1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
☑ Charge any add	ndicated below	ment of fee(s)	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
to the above-ident	fied deposit account.		1251	110	2251	55	Extension for reply within first month	
	FEE CALCULATION		1252	430	2252	215	Extension for reply within second month	
1. BASIC F	LING FEE		1253	980	2253	490	Extension for reply within third month	
Large Entity	Small Entity		1254	1,530	2254	765	Extension for reply within fourth month	
	ee Fee <u>Fee Descriptio</u>		1255	2,080	2255	1,040	Extension for reply within fifth month	
	ode (\$)	Fee Paid	1401	340	2401	170	Notice of Appeal	
	001 395 Utility filing fee	-	1402	340	2402	170	Filing a brief in support of an appeal	
	002 175 Design filing fee 003 275 Plant filing fee		1403	300	2403	150	Request for oral hearing	
	004 395 Reissue filing fe	е —	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
	005 80 Provisional filling		1452	110	2452	55	Petition to revive – unavoidable	
			1453	1,370	2453	685	Petition to revive - unintentional	
	SUBTOTAL (1)	(\$) 0	1501	1,370	2501	685	Utility issue fee (or reissue)	
2. EXTRA CLA	IM FEES FOR UTILITY A	ND REISSUE	1502	490	2502	245	Design issue fee	
		ee from	1503	660	2503	330	Plant issue fee	
Total Claims	Extra Claims -20 ** = 0 X [below Fee Paid = 0	1460	130	1460	130	Petitions to the Commissioner	
Independent	^^		1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
Claims	-3 ** = 0 X	= 0	1806	180	1806	180	Submission of Information Disclosure Stmt	180
Multiple Dependent	x[= 0	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
Large Entity	Small Entity		1809	790	2809	395	Filing a submission after final rejection (37 CFR § 1.129(a))	
Fee Fee Code (\$)	Fee Fee Fee Descrip	otion	1810	790	2810	395	For each additional invention to be	
1202 18	2202 9 Claims in ex	cess of 20					examined (37 CFR § 1.129(b))	
1201 88	· · · · · · · · · · · · · · · · · · ·	claims in excess of 3	1001	700	2001	205	Paginet for Continued Examination (PCE)	
1203 300	** Poissue in	endent claim, if not paid			·			
	88 2204 44 ** Reissue independent claims over original patent ** Reissue claims in excess of 20 and		1802	900	1802	900	Request for expedited examination of a design application	
1205 18	2205 9 over original		Other fe	e (speci	fy) _	_		
SUBTOTAL (2) (\$) 0					asic Filing	g Fee P		
**or number previously paid, if greater; For Reissues, see above		<u> </u>				SUBTOTAL (3) (\$) 180		

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

55,788





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: John C. Chappell

Serial No: 09/683,374

Filing Date: 12/19/2001

Title: System and Method for Addressable Light-Directed Microarray Printing

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Examiner: Daniel J. Petkovsek

Group Art Unit: 2874

4th SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Examiner Petkovsek:

Pursuant to 37 CFR § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying U.S. Patent & Trademark Office's Modified Form-1449 are called to the attention of the Examiner for consideration in connection with the above-identified patent application. Copies of the references are provided herewith.

Citation of these documents shall not be construed as (1) an admission that the documents are necessarily prior art with respect to the instant invention; (2) a representation that a search has been made; or (3) an admission that the information cited herein is, or is considered to be, material to patentability in any way, including that as defined in § 1.56(b).

As this submission is occurring after a first office action, Applicants are enclosing the appropriate fee. The Commissioner is hereby further authorized to charge payment of any additional fees which may be required, or credit any overpayment, to Deposit of Account No. 01-0431.

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Dated: 12/23/2004

Respectfully submitted,

Customer No. 22886
Legal Department

Affymetrix, Inc. 3380 Central Expressway

Santa Clara, CA 95051 Tel: (408) 731-5000/Fax (408) 731-5392 William R. McCarthy III Reg. No. 55,788

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Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

3383.1

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Attorney Docket Number

Application Number 9/683,374

Filing Date 12/19/2001

First Named Inventor John C. Chappell

Art Unit 2874

(Use as many sheets as necessary)

Complete if Known

Application Number 9/683,374

Filing Date 12/19/2001

First Named Inventor John C. Chappell

Art Unit 2874

Examiner Name Daniel J. Petkovsek

of

DEC 2

Sheet

	U.S. PATENT DOCUMENTS						
Examiner Cite		Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant		
Initials * No. 1	Number - Kind Code ² (if known)	MM-DD-YYYY	Cited Document	Passages or Relevant Figures Appear			
	A1	US-6,819,843	11/16/2004	Braun et al.			
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	FOREIGN PATENT DOCUMENTS							
Examiner Cite	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines, Where Relevant				
Initials*	No.1	Country Code ³ - Number ⁴ - Kind Code ⁶ (<i>if known</i>)	Date MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	T ⁶		
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Examiner Signature	Date Considered	

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Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.